10-15-01

UTILITY PATENT APPLICATION **TRANSMITTAL**

| Attorney Docket NO. | HDN-10 |
|---------------------------------|--------|
| , monitor and a second contract | , |

1

Total **Pages**

First Named Inventor PATRICK A. VERTA

Title

DATA CAPTURE AND MANAGEMENT SYSTEM

| 1 | / |
|------------|------------|
| | |
| 7 | |
| 35 | |
| Ф | |
| 948916 | |
| 9 \ | 2 |
| * | 三 <u>`</u> |
| → | |
| - | |
| - | |

| APPLICATION ELEMENTS | ACCOMPANYING APPLICATION PARTS | |
|--|---|--|
| 1. [X] Fee Transmittal Form 2 [X] Applicant claims small entity status See 37 CFR 1.27 3. [X] Specification Total Pages: [20] 4. [X] Drawing(s) Total Sheets: [8] 5. [X] Oath or Declaration Total Pages: [1] a. [X] Newly executed (original or copy) b. [] Copy from a prior application 1.63(d) (complete Box 19 and note Box 6 below) i. [] Signed statement deleting inventors named in the prior application, see CFR 1.63(d)(2) and 1.33(b) 6. [] Incorporation by Reference (if 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference. 7. [] Microfiche Computer Program (Appendix) 8. [] Nucleotide/Amino Acid Sequence Submission (all the following are necessary) a. [] Computer Readable Copy b. [] Paper Copy (identical to computer copy) c. [] Statement verifying identity of above copies | 9. [] Assignment cover sheet and document(s) 10. [] Power of Attorney by Assignee | |
| 19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: | | |
| [] continuation [] divisional [] CIP | of prior application No.: [] | |
| 20. CORRESPONDENCE ADDRESS | | |

| 20. CORRESPONDENCE ADDRESS | | | | |
|--------------------------------------|---------------------|-----------------|--------------|----------------|
| NAME | MAREK ALBOSZTA | | | |
| LUMEN INTELLECTUAL PROPERTY SERVICES | | | | |
| ADDRESS | 45 CABOT AVENUE, SI | UITE 110 | | |
| CITY | SANTA CLARA | STATE CA | ZIP CODE | 95051 |
| COUNTRY | USA | TELEPHONE (408) | 260-7300 FAX | (408) 260-7301 |

| Signature of Applicant, Attorney, or Agent | |
|--|--------------|
| Marek Alboszta, Reg. No. 39,894 | 12 Oct. 2001 |
| Marek Alboszta, Reg. No. 39,894 | Date |

| | Mailing by "Express Mail" |
|---|--|
| I hereby certify that I am mailing this correspondence on the d | date indicated below to the ASSISTANT COMMISSIONER FOR PATENTS, |
| BOX PATENT APPLICATION, WASHINGTON, DC 20231 us | using the "Express Mail Post Office to Addressee" service of the United States |
| Postal Service under 37 CFR-1-10. | , / |
| | in (in (n) |
| Postal Service under 37 CFR 1-40. | DATE OF MAILING: 10/12/01 |
| CICNATURE | DATE OF MALERICA. |

NAME OF PERSON SIGNING

EXPRESS MAIL LABEL NO: ET 705522011 US

FEE TRANSMITTAL

| Application Number | | E IRANSMI | | |
|--|--------|----------------------|------------------|--------|
| Application Number: | | assigned herewith | | |
| Filing Date: First Named Inventor: | | ck A. Verta | | |
| Title of Invention: | | | Management Syste | m |
| Group Art Unit: | | assigned | management byste | |
| Examiner: | | assigned | | |
| Attorney Docket No.: | HDN | | | |
| Fee Calculation: | | | | |
| for [] Large Entity | | | | |
| [X] Applicant claims small | entity | status | | |
| See 37 CFR 1.27 | | | | |
| Basic Filling Fee: [X] Utility Patent Application: | \$740 | / \$370 | | \$ 370 |
| Provisional Patent Application: | \$160 | | | \$ |
| Claims: | | | | |
| [X] Number of Total Claims Over 20: | [10] | | x \$18/\$9 = | \$ 90 |
| [] No. of Independent Claims Over 3: | [] | | x \$84/\$42 = | \$ |
| Other Fees: | | | \$110 / \$FF | • |
| [] Extension of time, 1 month | | | \$110 / \$55 | \$ |
| [] Extension of time, 2 months | | | \$400 / \$200 | \$ |
| [] Extension of time, 3 months | | | \$920 / \$460 | \$ |
| [] Extension of time, 4 months | | | \$1440 / \$720 | \$ |
| [] Missing Parts Surcharge (Regular | Applic | ation) | \$130 / \$65 | \$ |
| [] Missing Parts Surcharge (Provision | onal A | oplication) | \$50 / \$25 | \$ |
| [] Recordation of Assignment Docum | nent | | \$40 | \$ |
| [] Issue Fee | | | \$1280 / \$640 | \$ |
| [] Printed Patent; Number of Copie | es:[] | | x \$3 = | \$ |
| TOTAL PAYMENT: | | | | \$ 460 |
| L | | | | |

| Method of [X] Payment | |
|-----------------------|-------|
| [X] | Check |

| Signature of Applicant, Attorney, or A | gent |
|--|--------------|
| Marek Alboszta, Reg. No. 39,894 | 12 Oct. 2001 |
| Marek Alboszta, Reg. No. 39,894 | Date |